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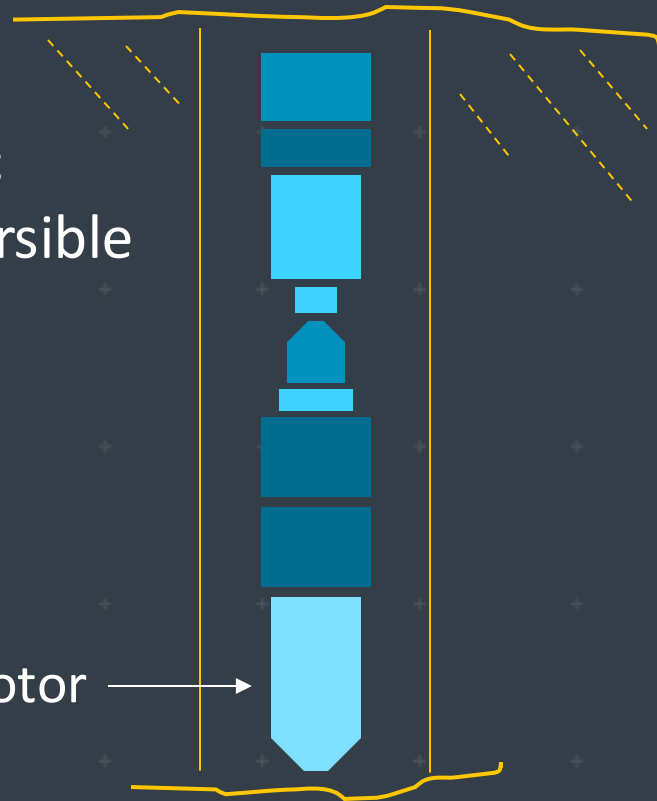
September 16, 2019

## AI, Ethics, and Policy considerations when **technology** meets **biology**

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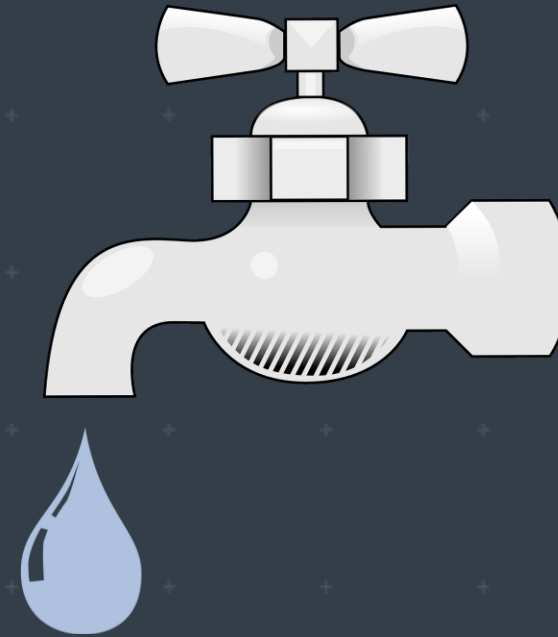
Electric  
Submersible  
Pump

Motor →



Crude oil

Marketing and advertising data



Drinking water

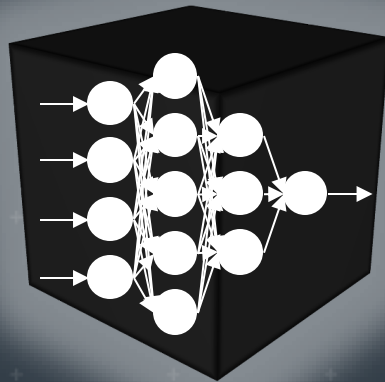
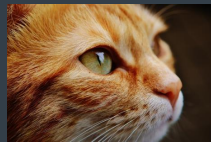
Bio data

# Machine Learning



Learns through observation of examples (data).

**Useful!**



Cat



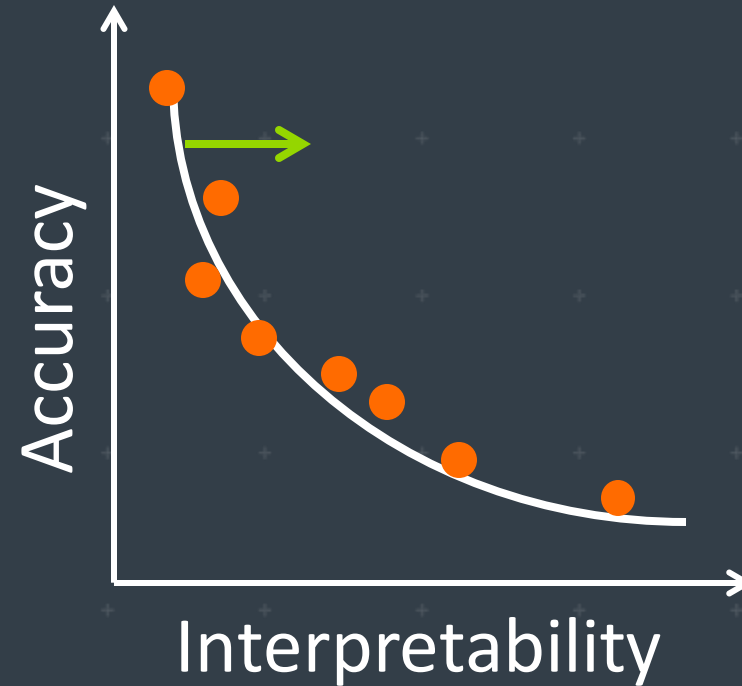
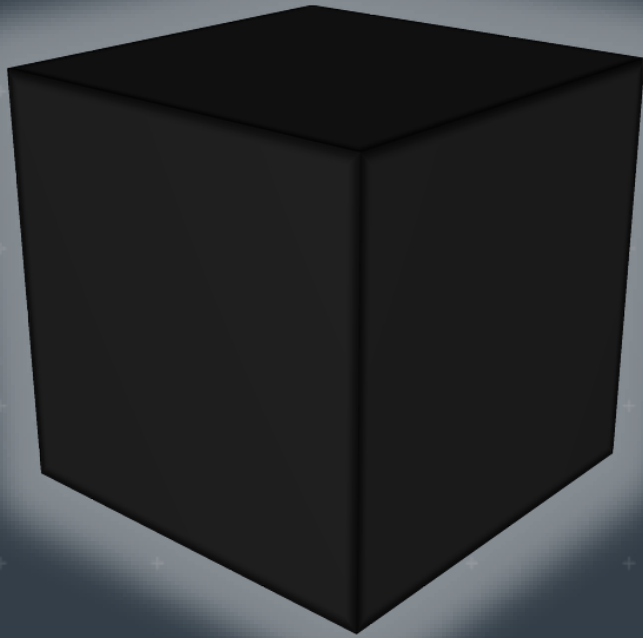
?

Approximate (Probability)

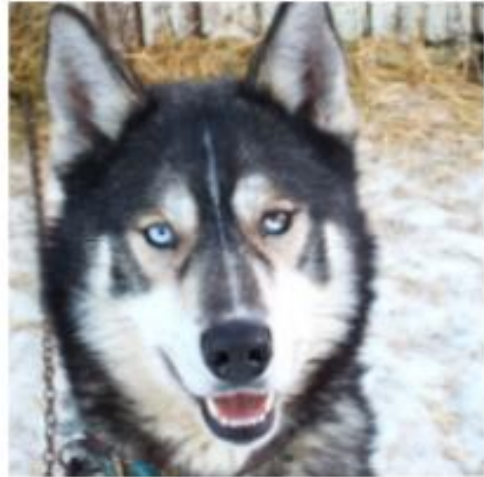
Universal Function Approximator

# Explainable (Interpretable) AI - XAI

Bringing transparency to the black box



# XAI Example



(a) Husky classified as wolf

Ribeiro et al. *Why should I trust you? Explaining the predictions of any classifier*. KDD2016  
(aka LIME: Local Interpretable Model-Agnostic Explanations)

# Why bring the focus to explainability?

- Stakeholder Trust
- Risk Mitigation
- Scientific Discovery
- Requirements of Policy and Regulation
- Ethical Responsibility

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Stakeholder Trust

Stakeholder Trust

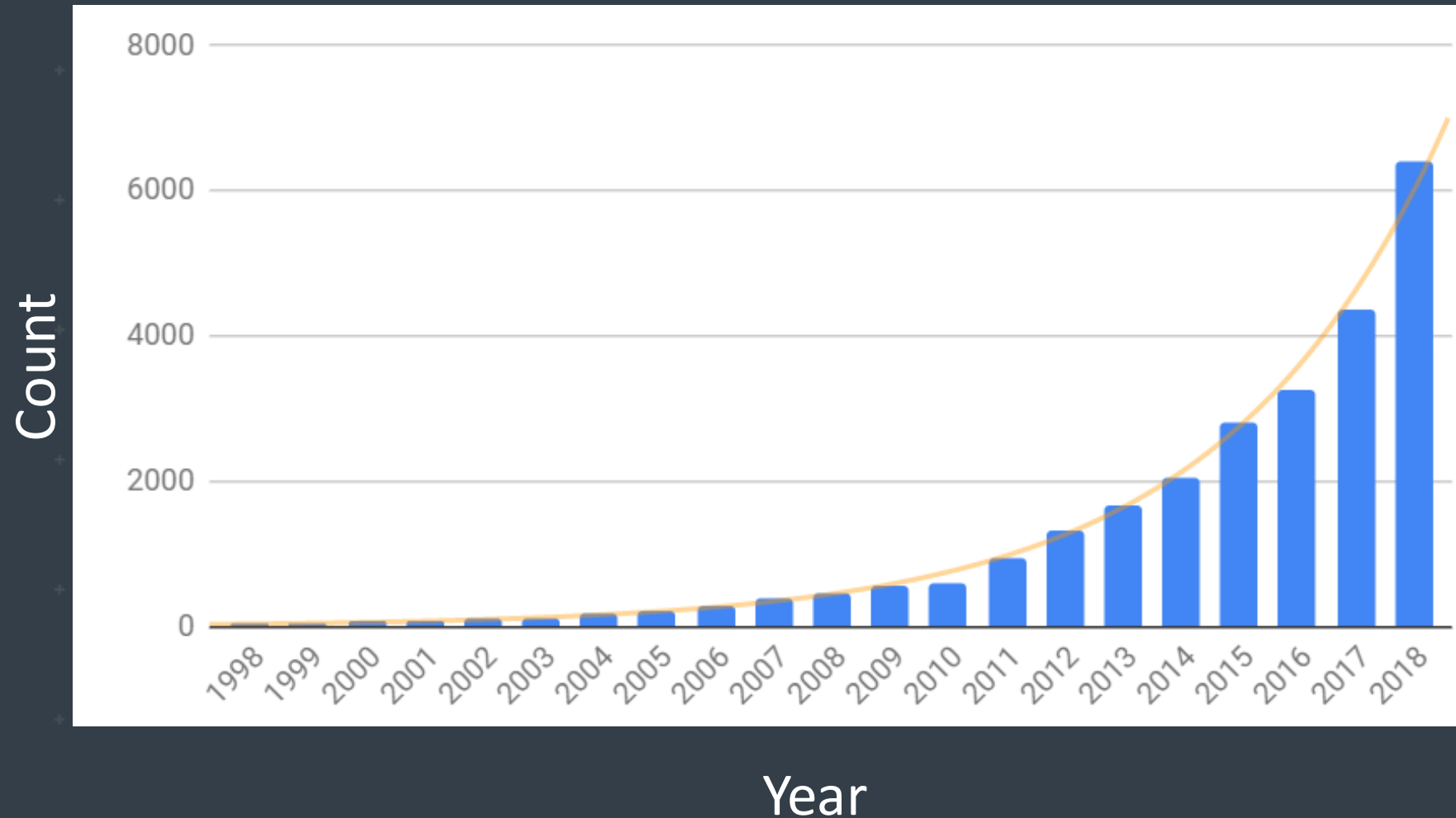
Risk Mitigation

Scientific Discovery

Requirements of Policy and Regulation

Ethical Responsibility

# PubMed Publications using “Machine Learning”





# Stakeholder Trust

- Leveraging the value of the prediction may require explainability
- In healthcare, the utility may be in what is actionable...
- **Why** has the probability of cancer increased?
- Patients still entitled to accuracy



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# Risk Mitigation

Stakeholder Trust

Risk Mitigation

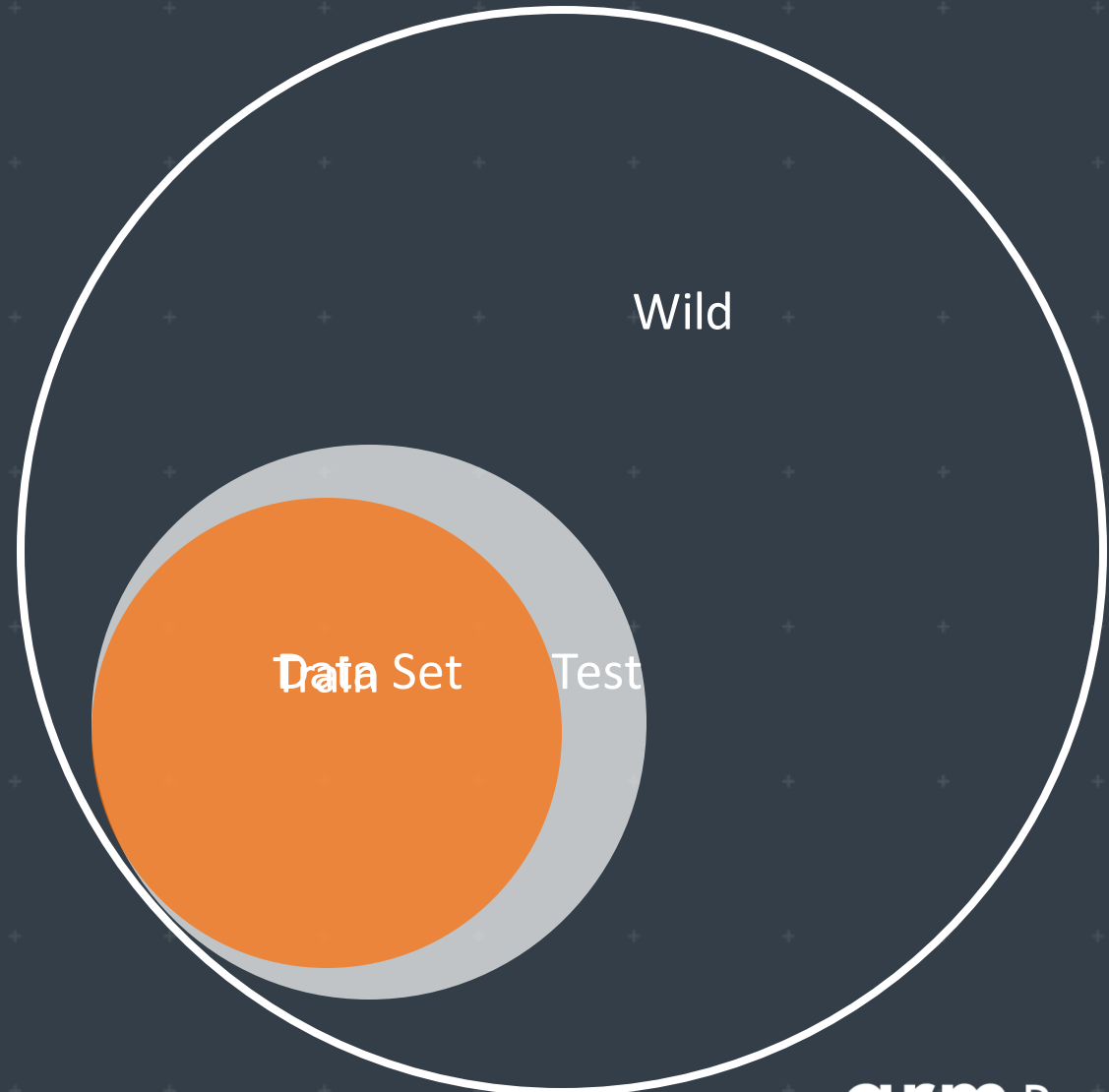
Scientific Discovery

Requirements of Policy and Regulation

Ethical Responsibility

# Risk Mitigation

- Biased Training Data
- Data Leakage
- Model performance dependent on training data
- Model doesn't necessarily translate to the wild



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# Scientific Discovery

Stakeholder Trust

Risk Mitigation

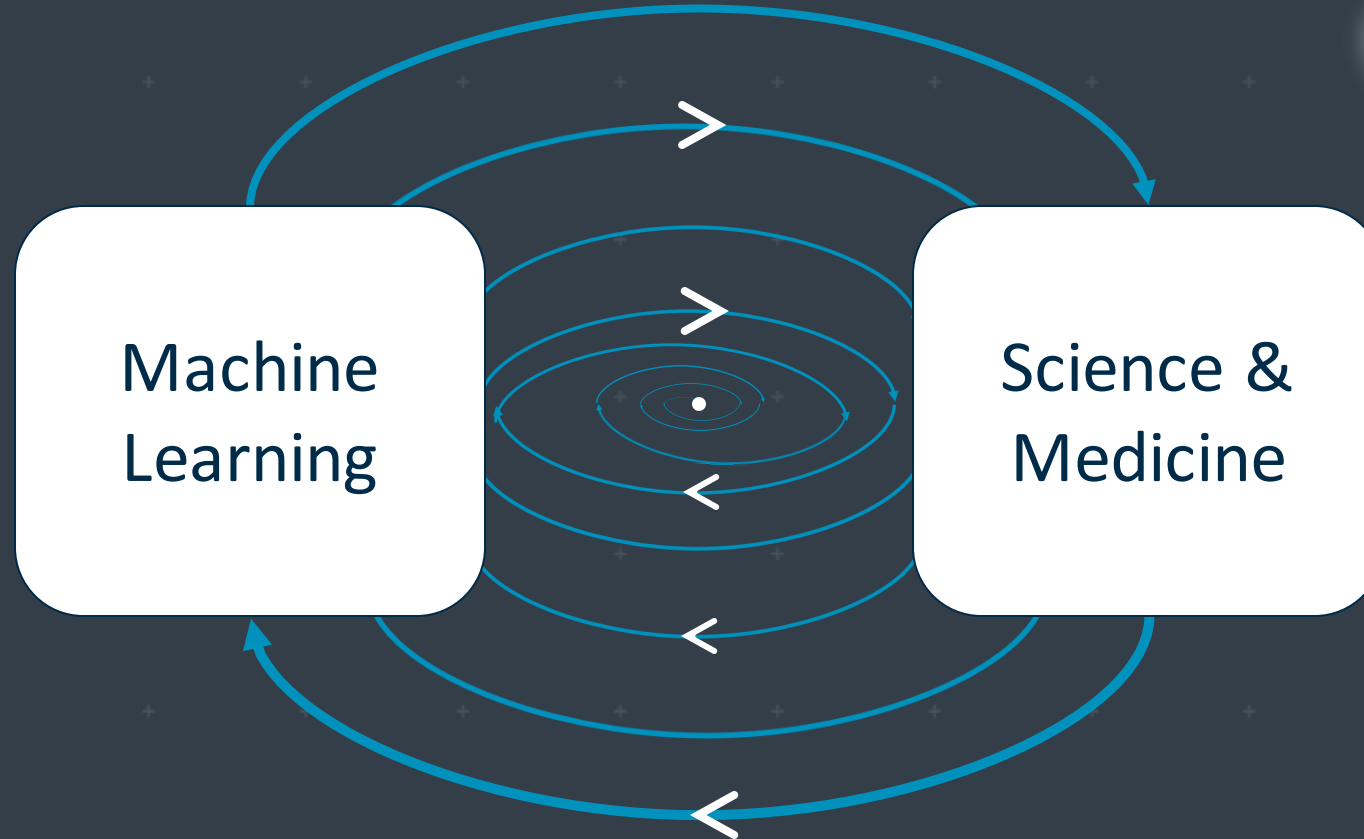
Scientific Discovery

Requirements of Policy and Regulation

Ethical Responsibility

# Machine Learning Accelerating Scientific Discovery

Accelerating Returns



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# Policy and Regulation

Stakeholder Trust

Risk Mitigation

Scientific Discovery

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# Policy and Regulation

- FDA and AI
  - SaMD: Software as a Medical Device
  - Allowing for modifications from real-world learning and adaptation, while still ensuring safety and effectiveness
- GDPR (EU General Data Protection Regulation) and AI
  - What constitutes personal data?
  - Simplified requests for consent + easy withdrawal (Right to Erasure)
  - Article 22, Recital 71: **Right to an Explanation**
    - Rights related to automated decision-making including profiling



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# Ethical Responsibility

Stakeholder Trust

Risk Mitigation

Scientific Discovery

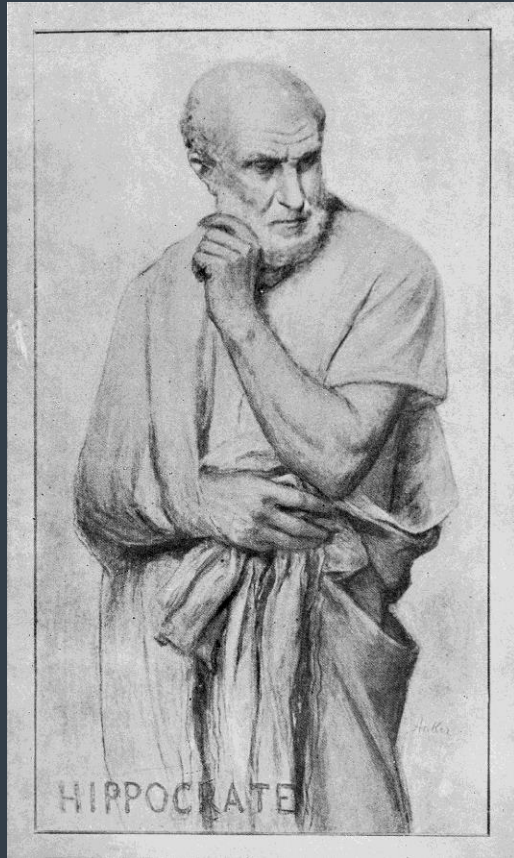
Requirements of Policy and Regulation

Ethical Responsibility



# The Power of a Technologist

Engineers and Computer Scientists have more power to affect human life than doctors



*“primum non nocere”*

- “I will not be ashamed to say ‘**I know not**’”
- “I will respect the **privacy** of my patients, for their problems are not disclosed to me that the world may know.”
- “I will remember that I remain a member of society, with **special obligations to all my fellow human beings.**”

*Ethics education needed at the undergraduate and graduate levels*

# Researcher Responsibilities

- Assume that anything you publish will get used in a different situation
  - Provide the components such that it is less likely to be used incorrectly
  - Explain the bounds of good model performance, all assumptions, and potential points of failure
- Ethical responsibility to shine light on the holes in your work

Fighting “publish or perish” mentality

# Biotech-Specific Ethical Problems



Multi-generational opt-in?



Cost of technology limits availability



Individual's opt-in choices are tied to an education level that we are not meeting



Ability to create societal-level consensus

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Thank You

Danke

Merci

谢谢

ありがとう

Gracias

Kiitos

감사합니다

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